

**WISCONSIN DEPARTMENT OF  
REGULATION & LICENSING**



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**ACCOUNTING EXAMINING BOARD SCREENING PANEL**

**Room 294B, 1400 East Washington Avenue, Madison WI**

**Contact: David O'Connell (608-261-4490)**

**Thursday, November 4, 2004**

**8:30 A.M.**

1. Call Open Session to Order
2. Convene to Closed Session to consider discipline (s. 19.85(1)(b), Stats., and to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.
  - a. Screen complaints received from the public against credential holders. The Panel consists of 2 Board members and Department staff.
3. Reconvene to Open Session
4. Vote on Items Considered or Deliberated Upon In Closed Session
5. Adjournment

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**ACCOUNTING EXAMINING BOARD MEETING**

**ROOM 294B, 1400 EAST WASHINGTON AVENUE, MADISON WI**

**Contact: Jerry Lowrie (608) 261-4486**

**THURSDAY, NOVEMBER 4, 2004**

**9:00 A.M.**

1. **CALL TO ORDER**
  - a. Declaration of Quorum
2. Adoption of Agenda
3. Approval of Minutes
  - a. Board Meeting (August 19, 2004)
4. Administrative Report, Jerry Lowrie, Bureau Director, Bureau of Business and Design Professions
  - a. Board Roster
  - b. 2005 Meeting Dates
  - c. Summary Reports on Pending Court Cases, Disciplinary Cases and Administrative Rules and Monthly Press Release of Disciplinary Orders
  - d. Code Book Policy
  - e. Web Site FAQ's
5. Travel
  - a. NASBA's 97<sup>th</sup> Annual Meeting, Chicago IL October 17-20-2004 - Report -Jim Johnson, Tom Kilkenny
6. Legislative/Administrative Rules
  - a. RL 4.01 Criminal Background Checks – Emergency Rule
7. Peer Review

- a. Post Card Mailing
8. Temporary Practice Discussion
  - a. Discussion of Chapter 23 of the UAA
  - b. NASBA Teleconference Follow-up
  - c. Discussion of Chapter 442.025
9. Examination/Education/Application Issues
  - a. Revision of Verification of Employment Form #127 – Kate Tucker
  - b. Review of Application for Renewal of Firm License – Jan Bobholz
10. Class 1 Hearings
  - a. Jeffrey D. Watt **11:00 a.m.** (via telephone)
  - b. John G. Cozad **11:30 a.m.**
  - c. Keita Shinoda **12:00 Noon**
  - d. Shailaja Rajesh **12:30 p.m.**
  - e. John Haack **1:00 p.m.**
11. NASBA
  - a. UAA Rule Changes
  - b. State Board Report – August and September
12. Board Member Activity
13. Visitors Comments
14. Presentation of Proposed Stipulations by Division of Enforcement or any that may be Submitted after Printing of Agenda
15. **CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.; consider closing disciplinary investigation with administrative warning (s. 19.85(1)(b), Stats. and 440.205, Stats., to consider individual histories or disciplinary data (s. 19.85 (1)(f), Stats.; and, to confer with legal counsel (s. 19.85(1)(g), Stats.)**
  - a. Monitoring Report or any that may be submitted after printing of Agenda.
  - b. Case Closings and Case Status Report or any that may be submitted after printing of agenda.
    - i. 03 ACC 015
  - c. Deliberation on Stipulations, Administrative Warnings and/or Disciplinary Actions or any that may be signed after printing of agenda
  - d. Pending Applications or any that may be submitted after printing of agenda-
    - i. Jeffrey D. Watt **11:00 a.m.** (via telephone) Class 1 Hearing
    - ii. John G. Cozad **11:30 a.m.** Class 1 Hearing
    - iii. Keita Shinoda **12:00 Noon** Class 1 Hearing
    - iv. Shailaja Rajesh **12:30 p.m.** Class 1 Hearing
    - v. John Hack **1:00 p.m.** Class 1 hearing
  - e. Reinstatement Requests or any that may be submitted after printing of agenda.
  - f. Examination Issues
    - i. Invalid Examination Score, Conditioning Problem – Kate Tucker
16. **RECONVENE TO OPEN SESSION**

17. Vote on Items Considered or Deliberated Upon in Closed Session, If Voting is Appropriate
18. New Business
19. Such Other Matters as Defined by Law

DOE – Signatures for Proposed Stipulations, Orders, and Administrative Warnings.

20. Next Meeting Agenda Items

## 21. **ADJOURNMENT**

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MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC UNLESS OTHERWISE NOTED. IF A BOARD ADJOURNS TO CLOSED SESSION, RECONVENES IN OPEN SESSION TO VOTE ON ITEMS CONSIDERED IN CLOSED SESSION, AND DURING VOTING DETERMINES THAT ADDITIONAL DELIBERATIONS ARE NECESSARY FOR VOTING, THE BOARD MAY ADJOURN TO CLOSED SESSION TO CONTINUE DELIBERATIONS AND THEN RECONVENE INTO OPEN SESSION FOR VOTING.

Meetings and hearings may be cancelled without notice. Times listed for meeting items are approximate and depend on the length of discussion and voting. The board may also consider in closed session, stipulations filed after the printing of this notice. Meetings may be confirmed by a telephone call to the listed contact person. Examinations are open only to authorized candidates. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. All meetings are held at 1400 East Washington Avenue, Madison, Wisconsin, unless otherwise noted. For a complete copy of the board's agenda, contact the board listed above. Interpreters for the hearing impaired provided on request. Contact the Affirmative Action Officer, (608) 266-2112.